

RCE

ITW

# REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

MAIL STOP RCE  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
ALEXANDRIA, VA 22313-1450



Application Number	09/552,879
Filing Date	April 20, 2000
First Named Inventor	John CARAHAN et al.
Group Art Unit	3628
Examiner Name	CHENCINSKI, Siegfried E.
Attorney Docket No.	47004.000056

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-captioned application.

Request for Continued Examination (RCE) practice under 37 C.F.R. § 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application.

1. Submission required under 37 C.F.R. § 1.114 (Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

- a. ☐ Previously submitted
- i. ☐ Consider the amendment(s)/reply under 37 C.F.R. § 1.116 previously filed on \_\_\_\_\_ (Any unentered amendment(s) referred to above will be entered.)
- ii. ☐ Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_
- iii. ☐ Other: \_\_\_\_\_
- b. ☒ Enclosed
- i. ☒ Amendment/Reply
- ii. ☐ Affidavit(s)/Declaration(s)
- iii. ☐ Information Disclosure Statement (including Form PTO-1449 and References)
- iv. ☒ Other: **Petition for Two-Month Extension of Time**

## 2. Miscellaneous

- a. ☐ Suspension of action on the above-captioned application is requested under 37 C.F.R. § 1.103(c) for a period of \_\_\_\_\_ months. (Period of suspension shall not exceed three months; Fee under 37 C.F.R. § 1.17(i) required.)
- b. ☐ Other: \_\_\_\_\_

## 3. Fees The RCE fee under 37 C.F.R. § 1.17(e) is required by 37 C.F.R. § 1.114 when the RCE is filed.

- a. ☒ The Fees are calculated as follows:
- |                                                      | AMOUNT    | <input checked="" type="checkbox"/> Large Entity                                                          | <input type="checkbox"/> Small Entity             |
|------------------------------------------------------|-----------|-----------------------------------------------------------------------------------------------------------|---------------------------------------------------|
| i. <input checked="" type="checkbox"/> RCE BASIC FEE | \$ 770.00 | \$ 770.00                                                                                                 | \$ 385.00                                         |
| ii. <input type="checkbox"/> EXTENSION FEES          | \$ 0.00   | One-Month \$ 110.00<br>Two-Month 420.00<br>Three-Month 950.00<br>Four-Month 1480.00<br>Five-Month 2010.00 | \$ 55.00<br>210.00<br>475.00<br>740.00<br>1005.00 |
| iii. <input type="checkbox"/> OTHER                  | \$ 0.00   | \$ 0.00                                                                                                   | \$ 0.00                                           |
- b. ☒ Check in the amount of \$ 1190.00 is enclosed. The Commissioner is hereby authorized to charge any variance between the amount enclosed and the Patent Office charges to **Deposit Account No. 50-0206**.
- c. ☐ The Commissioner is hereby authorized to charge the fees as indicated above, or credit any overpayments, to **Deposit Account No. 50-0206**.

SUBMITTED BY

Complete (if applicable)

Typed or Printed Name Michael P.F. Phelps

Registration No. 48,654

Signature

Date

July 26, 2004



APPLICATION No.: 09/552,879

ATTY. DOCKET No. 47004.000056  
RCE AMENDMENT DATED: JULY 26, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application Of: )  
)  
John CARNAHAN *et al.* ) Group Art Unit: 3628  
)  
Application Number: 09/552,879 ) Examiner: Siegfried E. Chencinski  
)  
Filed: April 20, 2000 ) Confirmation No.: 5010  
  
For: SYSTEM AND METHOD FOR DYNAMIC, MULTIVARIABLE  
COMPARISON OF FINANCIAL PRODUCTS

MAIL STOP RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RCE AMENDMENT UNDER 37 C.F.R. § 1.114**

Sir:

In response to the Office Action mailed on March 9, 2004, in the above-referenced patent application, Applicants hereby request continued examination of the application, and submit the following amendments and remarks in conjunction therewith.

- **Amendments to the Claims** begin on page 2.
- **Remarks/Arguments** begin on page 9.